

## Index Transition Policy

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### Objective

In accordance to IOSCO Principle 13 (version FR07/13), the objective of this procedure is “to address the need for possible cessation of a Benchmark, due to market structure change, product definition change or any other condition which makes the Benchmark no longer representative of its intended Interest”

### Scope

This policy applies to all indices administered by amLeague

### Rules

1. Questions on the cessation/transition of an amLeague index may arise because:
  - a selected asset manager has left amLeague’s notional mandate platform
  - the number of notional portfolios in a mandate does not seem appropriate to carry on with the index construction
  - one of the third parties participating in the Index determination process does not provide anymore necessary input data
  - the selection or construction method is no more applicable
2. The cessation of an Index must be decided by the amLeague Index Oversight Committee provided that to amLeague’ best knowledge, all existing clients have been consulted and commercial relationships have been terminated or transitioned accordingly.
3. The rationale for the cessation or transition of an Index should be documented. Records are kept during 5 years.
4. Clients and stakeholders will be informed of a cessation or transition of an index at least 1 month in advance